



R2v3 一阶段 / 二阶段、监督及再认证审核时间表

Timeline for Stage I/II, Surveillance, and Recertification Audits (R2v3)

审核类型 Audit type	截止日期 Deadline	截止日期前须提交 Required by deadline	超期后果 Consequence for deviation
一阶段 Stage 1	二阶段审核之前 Prior to the Stage 2	不符合项的纠正证据 Evidence of correction of NCRs	不能启动二阶段审核；重新进行一阶段审核  Stage 2 cannot proceed; repeat Stage 1
二阶段 Stage 2	60天 60 days	所有轻微、严重不符合项纠正证据得到审核员认可 Evidence of correction for all minors and majors accepted by auditor	重新进行二阶段审核（必须在一阶段审核后6个月内） Repeat the Stage 2 (within 6 months of the Stage 1)
	60天 60 days	所有轻微、严重不符合项的书面根本原因及纠正措施计划得到审核员认可 Documented root cause and plan for corrective action for all minors and majors accepted by the auditor	
	90天 90 days	审核员重访验证纠正措施的实施及有效性 Revisit to verify implementation and effectiveness of the corrective action(s)	
监督 Surveillance	60天 60 days	所有轻微、严重不符合项纠正证据得到审核员认可 Evidence of correction for all minors and majors accepted by auditor	暂停认证 Suspension
	60天 60 days	所有轻微、严重不符合项的书面根本原因及纠正措施计划得到审核员认可 Documented root cause and plan for corrective action for all minors and majors accepted by the auditor	
	90天，最晚不超过6个月* *适用于年度审核；如果是半年度审核，可在下一次监督审核时进行 90 days, or up to 6 months* *for annual audits; for semi-annual audits, this can be	审核员重访验证纠正措施的实施及有效性 Revisit to verify implementation and effectiveness of the corrective action(s)	

	addressed at the next (SA) audit		
<b>Recertification</b>	60天 60 days	所有轻微、严重不符合项纠正证据得到审核员认可 Evidence of correction for all minors and majors accepted by auditor	如果证书过效, 重新新的审核 (一阶段二阶段审核) If the certificate expires, the client will need to start over with a new registration (Stage 1 and Stage 2 audits)
	60天 60 days	所有轻微、严重不符合项的书面根本原因及纠正措施计划得到审核员认可 Documented root cause and plan for corrective action for all minors and majors accepted by the auditor	
	90天 (如果证书在 间效, 证书效 ) 90 days (or sooner based on certificate expiry)	审核员重访验证纠正措施的实施及有效性 Revisit to verify implementation and effectiveness of the corrective action(s)	